

COMMON APPLICATION FORM

Appl. CA

Date: DD / MM / YYYY

| | Distributor's ARN/ RIA Code# | Sub-Broker's ARN | Sub-Broker's Code | EUIN | | | | | | |
|--|--|---|---|--|--|--|--|--|--|--|
| "By m | entioning RIA/PMS code, I/ We authorize you to share wit | h the Investment Adviser/ Port | folio Manager the details of m | y/our transactions in the scheme(s) of | | | | | | |
| Kotal "I/We | k Mahindra Mutual Fund. Declaration for "Execution-only" hereby confirm that the EUIN box has been intentionally left blank by on of the above distributor/sub broker or notwithstanding the advice of | transactions (only where EUIN me/us as this transaction is execute | box is left blank) ed without any interaction or advice | by the employee/relationship manager/sales | | | | | | |
| SIGNATURE(S) | | | | | | | | | | |
| GNA | Sole / First Applicant | Second Applicant | | Third Applicant | | | | | | |
| | CTION CHARGES for Applications routed through distribute | (To be signed by All Applicants) | nsaction Charges under the he | ading "Guidelines to filling up the | | | | | | |
| form" fo | r details) mmission shall be paid directly by the investor to the AMFI registered distrib | | _ | | | | | | | |
| | | | | | | | | | | |
| ting older ration ion I) | If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Folio and PAN details below and proceed to Section Investment Details. | | | | | | | | | |
| Existing Unitholder Information (Section I) | Name of Sole / First Applicant: PAN No.: Folio No.: | | | | | | | | | |
| | | | | | | | | | | |
| | Name of Sole/ First Applicant: | | | ^ Name shall be as per PAN card. | | | | | | |
| | Name of Guardian (in case Sole/ First Applicant is a Minor): _ | | | | | | | | | |
| | Mobile: Belongs to: O Self O Spouse O Guar | dian (for Minor investment) O Depend | ent Child O Dependent Parent O Dep | endent Sibling O Custodian O POA O PMS | | | | | | |
| | Email: | | Tel (Res./ O | ff.) | | | | | | |
| | Email Address belongs to: O Self O Spouse O Guardian (for Minor in | vestment) O Dependent Child O Depe | endent Parent O Dependent Sibling O | Custodian O POA O PMS | | | | | | |
| | PAN/ PEKRN: Date of B Incorpora | | Y Y CKYC: | | | | | | | |
| | Gross Annual Income Details in INR (please tick): O < 1 lac | O 1 - 5 lac O 5 - 10 lac O | 10 - 25 lac O 25 lac - 1 cr O | 1 cr - 5 cr O 5 cr - 10 cr O > 10 cr | | | | | | |
| | or Net-worth as on (date) DD / MM / YYYY Rs (should not be older than 1 year) | | | | | | | | | |
| | Please tick, if applicable, O Politically Exposed Person (PEP) O Related to a Politically Exposed Person (PEP)* O Not applicable | | | | | | | | | |
| © | Occupation of Applicant O Private Sector Service O Business O Retired O Professional O Forex Dealer O Public Sector/ O Public Sector/ O Professional O Housewife O Agriculturist O Business O Student | | | | | | | | | |
| New Applicant's Personal Information (Mandatory) (Section II) | Status of Applicant O Resident Individual O NRI on Repatriation Basis (NRE) O NRI on Non-Repatriation Basis (NRO) O HUF O Public Limited Compa | | O PF/ Gratuity/ Pension/ O Superannuation Fund O Trust O AOP/ BOI | O Foreign Institutional Investor O On behalf of Minor O Other (Please Specify) | | | | | | |
| form (II) | LEI Number (Legal Entity Identifier) – Valid till DDMMYYYYY | | | | | | | | | |
| rsonal Info (Section II) | Name of Second Applicant: ^ Name shall be as per PAN card. | | | | | | | | | |
| Perso (Se | Mobile: Belongs to: O Self O Spouse O Guar | dian (for Minor investment) O Depend | ent Child O Dependent Parent O Dep | endent Sibling O Custodian O POA O PMS | | | | | | |
| ant's | Email: | | Tel (Res./ O | ff.) | | | | | | |
| pplica | Email Address belongs to: O Self O Spouse O Guardian (for Minor in | | endent Parent O Dependent Sibling O | Custodian O POA O PMS | | | | | | |
| ew A | PAN/ PEKRN: Date of B Incorpora | | Y Y CKYC: | | | | | | | |
| z | Gross Annual Income Details in INR (please tick): O < 1 lac O 1 - 5 lac O 5 - 10 lac O 10 - 25 lac O 25 lac - 1 cr O 1 cr - 5 cr O 5 cr - 10 cr O > 10 cr | | | | | | | | | |
| | or Net-worth as on (date) DD / MM / YYYYY Rs (should not be older than 1 year) Please tick, if applicable, O Politically Exposed Person (PEP) O Related to a Politically Exposed Person (PEP)* O Not applicable | | | | | | | | | |
| | | | | | | | | | | |
| | Name of Third Applicant: | dian (for Minor investment). O Depend | ont Child O Donondont Parent O Don | ^ Name shall be as per PAN card. | | | | | | |
| | Mobile: Belongs to: O Self O Spouse O Guardian (for Minor investment) O Dependent Child O Dependent Parent O Dependent Sibling O Custodian O POA O PMS Email: Tel (Res./ Off.) | | | | | | | | | |
| | Email Address belongs to: O Self O Spouse O Guardian (for Minor investment) O Dependent Child O Dependent Parent O Dependent Sibling O Custodian O POA O PMS | | | | | | | | | |
| | PAN/ PEKRN: Date of Birth/ Incorporation D D M M Y Y Y Y CKYC: CKYC: | | | | | | | | | |
| | Gross Annual Income Details in INR (please tick): O < 1 lac O 1 - 5 lac O 5 - 10 lac O 10 - 25 lac O 25 lac - 1 cr O 1 cr - 5 cr O 5 cr - 10 cr O > 10 cr | | | | | | | | | |
| | or Net-worth as on (date) DD / MM / YYYY Rs (should not be older than 1 year) | | | | | | | | | |
| | Please tick, if applicable, O Politically Exposed Person (PEP) O Related to a Politically Exposed Person (PEP)* O Not applicable *Ideclare that the information is to the best of myknowledge and belief, accurate and complete. lagree to notify Kotak Mahindra Mutual Fund/Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information. | | | | | | | | | |
| | "rueciare trat trie informations to trie bestoff my knowledge and belief, accurate and complete | e. ragree to noury Kotak Mariiridra Mutuai Furid/Ki | otak ivianiindra Assetivia nagement Co. Eto. immed | lately if Case there is any change in the above information. | | | | | | |
| tion) | Mode of Operation - Where there is more than one applicant [Plea | | | | | | | | | |
| (Section III) | O First Applicant only O Anyone or Survivor O Joint (Default v | vill be any one or survivor, in case of | more than one applicant) | | | | | | | |
| | | | | | | | | | | |
| <u> </u> | | (To be filled by Applica | | | | | | | | |
| IT SLIF | Kotak Mutual Fund An application for allotment of units in the | | • | Appl. CA | | | | | | |

ACKNOWLEDGEMEN

No. _ Bank & Branch

Please retain this silp, duly acknowledged by the Official Collection Center till you receive your Account Statement

| Instument Details | | Investment Details |
|------------------------|--------|--------------------|
| | Scheme | |
| ated DD / MM / YYYY Rs | Plan | |
| | Ontion | |

Official Acceptance Point Stamp & Sign

| ınt | | | | | | | | | | | |
|--|---|--|--|--|--|-----------------|----------------------------|-----------------|-------------------------|---------------------------|--|
| 그 그 그 | . Name | | PAN | | Country of Birth | | Nationalit | Ey . | Tax Reference Num | nber (for NRI) | |
| Guardian/ Contact Person if Non- Individual Applicant (Section IV) | Gross Annual Income Details in INR or Net-worth as on (date) | R (please tick): O | | O 5 - 10 lac (should not be | O 10 - 25 lac older than 1 year) | O 25 lac - 1 | cr O | 1 cr - 5 cr | O 5 cr - 10 cr | O > 10 cr | |
| erson vidual (Secti | Please tick, if applicable, O Politicall *I declare that the information is to the | y Exposed Perso | n (PEP) O Related | to a Politically | y Exposed Person (Pl | | | | Mahindra Accet M | anagomont | |
| India P | Co. Ltd. immediately in case there is a | | | ate and comple | te. ragree to notily Ki | Olak Mariiridra | a iviutuai r | uliu/ Kotak i | viariii lura Asset ivid | | |
| · · | Name | | PAN | | Country of Birth | | Nationalit | :V | Tax Reference Num | nber (for NRI) | |
| Attorney (PoA) Holder (Section V) | Gross Annual Income Details in INR | R (please tick): O | < 1 lac O 1 - 5 lac | O 5 - 10 lac | O 10 - 25 lac | O 25 lac - 1 | | 1 cr - 5 cr | O 5 cr - 10 cr | O > 10 cr | |
| | or Net-worth as on (date) DD / MM / Please tick, if applicable, O Politicall | | | | older than 1 year) / Exposed Person (Pl | EP)* O Not | t applicab | le | | | |
| (R / S | *I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information. | | | | | | | | | | |
| t Is | Address for Commu | | Overseas Address (Mandatory for NRI/ FII Applicants) | | | | | | | | |
| Detai plicar) | 7.001.033 101.00111111 | | 030.5 | | House/ Flat | | , ipplicalles, | | | | |
| Correspondence Details of Sole/ First Applicant (Section VI) | | | | | Street Add | ress | | | | | |
| | City/ Town | State | | Ci | ity/ Town | | State | | | | |
| f Sole | Country | | | ountry | | | Pin Code | | | | |
| ٥ ٥ | | Pin Code | | | | | | | | | |
| ATCA 8 | k CRS INFORMATION [Please tick (v | /)], for Individu | als (Mandatory). No | on Individual | investors & HUF sh | nould manda | atorily fil | I separate | FATCA detail fo | rm. | |
| Address Is the ap If Yes, Ple | ow information is required for all a Type: Residential Delicant(s) / guardian's Country of ease provide the following informatio dicate all countries in which you are r | Business Birth / Citizens n [Mandatory] | ☐ Registered Offichip / Nationality / Ta | ax Residency | | □ Yes | address : □ No | appearing | in Folio) | | |
| Categor | у | | First Applicar | nt/ Minor | Second . | Applicant/ 6 | Guardian | | Third Applicant | | |
| Place/ Ci | ty of Birth | | | | | | | | | | |
| Country | of Birth | | | | | | | | | | |
| Country | of Tax Residency – 1** | | | | | | | | | | |
| Tax Payeı | r Ref. ID No. – 1^ | | | | | | | | | | |
| Tax Ident | tification Type – 1 [TIN or Other, pleas | e specify] | | | | | | | | | |
| Country | of Tax Residency – 2** | | | | | | | | | | |
| Tax Payeı | r Ref. ID No. – 2^ | | | | | | | | | | |
| Tax Ident | tification Type – 2 [TIN or Other, please | e specify] | | | | | | | | | |
| Country | of Tax Residency – 3** | | | | | | | | | | |
| Tax Payeı | r Ref. ID No. – 3^ | | | | | | | | | | |
| Tax Ident | tification Type – 3 [TIN or Other, please | e specify] | | | | | | | | | |
| * To also | include USA, where the individual i | s a citizen/ gree | n card holder of USA. | ^ In case Tax Id | dentification Numb | er is not avai | lable, kin | dly provide | its functional e | quivalent. | |
| | I/ We and do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Folio No./Application No in the event of my/our death. I/we also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustee. DETAILS OF NOMINEE Please tick any of the following: Proof of Identity: □ PAN □ Adhaar □ Birth Certificate □ Others | | | | | | | | | | |
| | and settlements made to such Nominee | and signature of th | ne Nominee acknowledgi | ing receipt there | eof, shall be a valid disch | narge by the AN | ИС/ Mutua | | | y nominate Il payments | |
| andatory) | and settlements made to such Nominee DETAILS OF NOMINEE Please tie | and signature of th | ne Nominee acknowledgi owing: Proof of Identity Relatio Sole/ Firs | ing receipt there | eof, shall be a valid disch | narge by the AN | MC/Mutua ers | | | | |
| don VII) (Mandatory) ndividual(s) or Jointly) | and settlements made to such Nominee DETAILS OF NOMINEE Please tie | and signature of th | ne Nominee acknowledgi owing: Proof of Identity Relatio Sole/ Firs | ing receipt there r: PAN Aa Donship with st unit holder | eof, shall be a valid disch adhaar | narge by the AN | MC/Mutua ers | l Fund / Truste | ee. | | |
| trans (section vii) (Mandatory) illed in by Individual(s) ing Singly or Jointly) | and settlements made to such Nominee DETAILS OF NOMINEE Please tie | and signature of the ck any of the folkodoress of Nomine | ne Nominee acknowledgi owing: Proof of Identity Relatic Sole/ Firs (Ma | ing receipt there r: PAN Ae conship with st unit holder indatory) | eof, shall be a valid disch adhaar | narge by the AN | MC/Mutua ers | l Fund / Truste | ee. | | |
| nation Defails (Section VII) (Mandatory) (to be filled in by Individual(s) applying Singly or Jointly) | DETAILS OF NOMINEE Please tie Name & A DETAILS OF GUARDIAN (to be Name & Add | and signature of the ck any of the following the following division of Nomine furnished in cardinass of Guardian | ne Nominee acknowledgi owing: Proof of Identity Relatic Sole/ Firs (Ma | ing receipt there r: PAN A onship with st unit holder indatory) or) | eof, shall be a valid dischadhaar Date of Birth Certi Date of Birth (mandatory in case of Minor) | narge by the AN | MC/Mutua ers dentity | % Share | ee. | Nominee | |
| Nomination Details (Section VII) (Mandatory) (to be filled in by Individual(s) applying Singly or Jointly) | DETAILS OF NOMINEE Please to Name & A | and signature of the ck any of the following distributions of Nomine distributions of Nomine distributions of Guardian e instructions on not wish to appoir re that in case of distributions of the control of the contr | ne Nominee acknowledgi owing: Proof of Identity Relatic Sole/ Firs (Ma see Nominee is a mino omination and I/ We here that any nominee(s) for my eath of all the account he | ing receipt there PAN Accomplishing with stunit holder undatory) or) eby undertake to mutual fund uniolder(s), my / our | PAN pabide by the same. its held in my / our mutt. | Proof of I | dentity p with Mir | % Share | Signature Of I | Nominee | |
| Nomination Details (Section VII) (Mandatory) (to be filled in by Individual(s) applying Singly or Jointly) | DETAILS OF NOMINEE Please tie Name & A DETAILS OF GUARDIAN (to be Name & Add I/We have read and understood the I/We hereby confirm that I/We do of nominee(s) and further are award competent authority, based on the POA holder cannot nominate. Hence, sole/ all joint holder | and signature of the ck any of the following distributions of Nomine distributions of Nomine distributions of Guardian e instructions on not wish to appoir re that in case of distributions of the control of the contr | se Nominee acknowledgic owing: Proof of Identity Relatic Sole/ Firs (Ma | ing receipt there r: | PAN pabide by the same. its held in my / our mutt. | Relationship | dentity p with Mir | % Share | Signature Of I | Nominee | |

KOTAK MAHINDRA MUTUAL FUND

6th Floor, Kotak Infinity, Building No. 21,Infinity Park, Off. Western Express Highway, Gen.A.K. Vaidya Marg, Malad (E), Mumbai - 400 097.

1800 309 1490 (Toll-free), 044-4022 9101

mutual@kotak.com mww.kotakmf.com

Computer Age Management Services Ltd.

No 178/10, Kodambakkam High Road, Ground Floor, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034.

2 044 6110 4034

■ enq_k@camsonline.com www.camsonline.com

| In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month). | | | | | | | | | cy of less than a month). | | | |
|---|---|---|---|--|---|--|--|--|--|--|---|--|
| si c | NSDL | CDSL | | | | | | | | | | |
| Demat Account Details (Section VIII) | | | | | DP Nama | | | | | | | |
| Demat unt De ction V | DP Name | | | | | DP Name | | | | | | |
| Jes) | DP ID | | neficiary Account No. | | DP ID Beneficiary Account No. | | | | | | | |
| Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details of DP will overwrite to | | | | | | | | | overwrite the existing details. | | | |
| | | | | | | A | | | Payme | nt Deta | ils | |
| Ħ | Scheme Name | Plan | Option/ Sub-option | Freque | ncy | Amount Invested (Rs.) | Cheque | e No./ DD No./ | Bank | and Brai | nch Source Account No. | |
| | | O Regular O Direct | ○ Growth ○ IDCW Payout | O D (| D Q | | OTM/UTI | R No.(RTGS/NEFT |) Dank | una brai | Source Account No. | |
| | | | O IDCW Reinvestment | OM (| | | | | | | | |
| k Payme ction IX) | | O Regular O Direct | Growth IDCW Payout IDCW Reinvestment | O D () O W () O F* () O M () | р C н C | | | | | | | |
| Investment & Payment Details (Section IX) | | O Regular O Direct | Growth IDCW Payout IDCW Reinvestment | O D () O W () O F* () O M () | Н | | | | | | | |
| Inve | | Regular Direct | Growth IDCW Payout IDCW Reinvestment | O D () O W () O F* () O M () | Q H | | | | | | | |
| | | O Regular O Direct | ○ Growth ○ IDCW Payout ○ IDCW Reinvestment | O D () O W () O F* () | Q С н С | | | | | | | |
| D = Daily, W | / = Weekly, F = Fortnightly, M = Monthly, B = Bi-monthly, Q : | = Quarterly, | H = Half Yearly, A = Annu | , | _ | ility is available in Ko | otak Equity A | Arbitrage Fund only | / | | 1 | |
| If you are | e an NRI Investor, please indicate source of funds for yo | ur investm | ent (Please ✓) | | | | | | | | | |
| O NRE | NRO PENR Oth | | | | | | | | | | | |
| | | | | | | | | | | | | |
| Please e | enclose a cancelled cheque leaf of this Bank in cas | e your in | vestment cheque is n | ot from | this | account, else l | oank deta | ails of investm | ent che | que sha | ll be updated for payout | |
| sils | Name of Bank | | | | | | | | | | | |
| Deta | | | | | | City | | | | | | |
| unt ion) | Account No. | | | | | · — | | | | | | |
| Bank Account Details (Section X) | | | 7 | | | MICD CI- | | | | | | |
| nk A | IFSC Code | | | | | MICR Code | This i | s the 9 digit No. next | to your Che | eque No. | | |
| Ba | Account Type Current Savings NRO | ○ NRE | FCNR Others (| Please spe | cify) | | | | | | | |
| Declaration and Signatures (Section XI) | We hereby declare that I/We are authorised to make to does not involve and is not designed for the purpose of Laundering Act, Anti Corruption Act or any other appl Manager and its agents to disclose details of my invest induced by any rebate or gifts, directly or indirectly, in not all I/We confirm that the distributor has disclosed all confluenced by any rebate or gifts, directly or indirectly, in not not make to disclose details of my invest induced by any rebate or gifts, directly or indirectly, in not not not not not not not not not no | i any contricable laws ment to making this nmission (i g recomme form and the ption pronds in my/s informatic e also confi re true & c ion with KI il only inste | avention or evasion of a enacted by the Govern ly/our Investment Advis investment. In the form of trail commended to me / us. In the best of my knowle ceeds: I/We confirm thour NRE / FCNR Account on requirements of this frm that I/We have read Description of the best of my MAMC. Please note all lead of physical, for investigations are reconstructed by the confirmation of the best of my MAMC. Please note all lead of physical, for investigations are considered by the confirmation of the best of my MAMC. | ny Act, R ment of I or and / o nission o edge and at I am/ v t. Form (rea and unde knowled kinds of ir tors who | r any I belie | Regulations, No: I from time to tim y bank(s) / Kotak v other mode) pay ef it is true, correct te Non-Resident(s) tong with FATCA & tong with FATCA & tond undertake to tor communication to the remail according to the service of th | iffications e. I/We h Mahindra yable to th t, and com s) of Indiar cCRS Instri RS Terms inform KN on, Transacidress. | or Directions of cereby authorise Mutual Fund's late distributor for applete. In Nationality / Outcome and Conditions IAMC of any charton Information Complete and a | the provi Kotak M Dank(s). I the differ rigin and eby conf and here anges th n, Stater | isions of I ahindra I //We hav erent con I that I/W firm that I eby acceptered imment of A | Income Tax Act, Anti Money Mutual Fund, its Investment re neither received nor been impeting Schemes of various re have remitted funds from the information provided by the same. (Refer guideline mediately, and I/we approve account, Annual Report and | |
| | the application is liable to be rejected. | | | | | | | | | | | |
| | Please ensure that: Your Application Form is complete in all respects & signed by all applicants: ■ Name, Address and Contact Details are mentioned in full. ■ Bank Account Details are entered completely and correctly. 9 digit MICR Code of your Bank is mentioned in the Application Form. ■ Permanent Account Number (PAN) Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount. ■ Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 2(d) for more information) | | | | | | | | | | | |
| list | Document | | ' | Compan | ies | Trusts Soc | ieties | Partnership Firms | NRIs/ PIOs | FIIs | Investments through Constituted Attorney | |
| Checklist | Resolution / Authorisation to invest | | | ✓ | | ✓ | √ | ✓ | | ✓ | | |
| ر ا | 2. List of Authorised Signatories with Specimen S | ignature(s) | | ✓ | | √ | ✓ | ✓ | | ✓ | ✓ | |
| | 3. Memorandum & Articles of Association | , | | ✓ | | | | | | | | |
| | 4. Trust Deed | | | | | √ | | | | | | |
| | 5. Bye-Laws | | | | | | √ | | | | | |
| | 6. Partnership Deed | | | | | | | ✓ | | | | |
| | 7. Notarised Power of Attorney | | | | | | | | | | ✓ | |
| | 8. Account Debit/ Foreign inward Remittance Cer | tificate fro | mremitting Bank | | | | | | ✓ | ✓ | | |
| | All documents in 1 to 8 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public | | | | | | | | | | | |

GUIDELINES FOR FILLING UP THE COMMON APPLICATION FORM

GENERAL INFORMATION

- f)
- Please fill up the Application Form legibly in English in CAPITAL LETTERS.

 Please read this Memorandum and the respective SAV SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).

 Application Forms incomplete in any respect or not accompanied by a Cheque/ Demand Draft are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within's days.

 Any correction / over writing in the application form must be signed by the investor. If the Name given in the application is not matching PAN card, application may be liable to get rejected or further transactions may be liable get rejected.

 AMC shall not be responsible for direct credit rejects or / payout delays due to incorrect/ incomplete information provided by investor.

 In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in the aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

 The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor.

 In case of investments in the name of a minor, purchase has to be from minor account or from joint account with guardian (Parent Court Appointed) only. The registered guardian in the bank account of the minor should be the same guardian as mentioned in the folio/application. This will ensure seamless payment of rede

APPLICANT'S INFORMATION

- If you are already a Unitholder in any scheme of the Fund and wish to make your present investment in the same Account, please fill in the Name of Sole/ First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XI. Your personal information and bank account details updated in your existing account would also apply to

- information and bank account details updated in your existing account would also apply to this investment. If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (P.O. Box No. not enough) and your Contact Nos. This would help us reach you faster. Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NIRs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes. Know Your Client (KYC)
 With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC. In the event of KYC Form being subsequently rejected for lack of information/ deficiency/ insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.

 If you are KYC Complaint, your Change of Address, Change in Name, etc. should be given at KRA for updation.

3. THIRD PARTY PAYMENT
Reference to AMFI Best Practice Guidelines Circular No. 16/2010 -11 on Risk Mitigation process agains Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme. Definition of Third Party Cheques

Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.

In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account, from which payment is made. If this criterion is not fulfilled, then this is also construed to be a third party payment.

However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional case.

Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.

Custodian on behalf of an FII or a client.
 Custodian on behalf of an DD/Pay order it is the onus of the investor to provide adequate supporting documents to prove that such instruments are issued by debiting the first holders account.

Kotak Mahindra Asset Management Co. Ltd. / Trustee retains the sole and absolute discretion to reject/ not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments

TERMS & CONDITIONS FOR INVESTORS WHO WISH TO HOLD THEIR UNITS IN DEMAT

- **MODE**a. The Demat Account Details section on the investment application form needs to completely

- filled
 Please ensure that you submit supporting documents evidencing the accuracy of the demat account details. Applications received without supporting documents could be processed under the physical mode.
 The units will be credited to the Demat Account only post realisation of payment.
 The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.
 For units held in demat mode, the bank details mentioned on investment application form shall be replaced with the bank details as registered with the Depository Participant.
 For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. However, if the transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be liable to be rejected if KYC performed by KRA is not attached with the investment application form.
- liable to be rejected if KYC performed by KRA is not attached with the investment application form. In case of Unit Holders holding units in the demat mode, the Fund will not send the account statement to the Unit Holders. The statement provided by the Depository Participant will be equivalent to the account statement. If the investor names and their sequence in the investment application form does not match with the Demat Account details provided therein, the units will not be transferred to the Demat Account & units will be held in physical form.

 The option of holding units in demat form is not being currently offered for investment in IDCW option of schemes/ plans having IDCW frequency of less than a month (ie: Investments in all Daily, Weekly and Fortnightly IDCW Schemes cannot be held in Demat mode) In case the application is rejected post banking your payment instrument, the refund instrument will be sent with the bank details furnished in the investment application form & not as available in the Demat Account, post reconciliation of accounts.

5. BANK ACCOUNT DETAILS

- NK ACCOUNT DETAILS
 Please furnish the Name of your Bank, Branch and City (i.e clearing circle in which the branch participates), Account Type and Account Number. This is mandatorily required as per SEBI. Applications without this information will be deemed to be incomplete & would be rejected. RTGS IFSC code & NEFT IFSC code would help us serve you better.
 Please enclose a cancelled Cheque leaf of your Bank in case your investment cheque is not
- from the same account.

E-MAIL COMMUNICATIONIf the investor has provided an email address, the same will be registered in our records and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. The AMC / Trustee reserve the right to send any communication in physical mode.

7. INVESTMENT DETAILS

Cheques should be crossed "A/c Pavee Only" and drawn in favour of the Scheme in which you propose to invest. In case of discrepancy between the scheme name mentioned in the

- investment application form and cheque, the units will be allotted as per scheme name mentioned on the investment application form.
- If you are residing / located in a city / town where we do not have an Official Acceptance Point, please draw a Demand Draft payable at your nearest city / town where we have an Official Acceptance Point.

 Payments by Cash, Stockinvests, Outstation Cheques, Non-MICR Cheques will not be accepted. Post dated cheques will not be accepted except for investments made under Systematic Investment Plan.
- NRI investors are requested to provide debit certificate from their bank for each investment.

- NOMINATION DETAILS

 1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
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 Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- nominate. Nomination is not allowed in a folio of a Minor unitholder. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).

 A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.

 Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.

 A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.

- regulations.

 9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%, if the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation/claim settlement shall be made equally amongst all the nominees.

 10. Every new nomination for a folio/account shall ownwrite the existing nomination, if any.

 11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.

 12. Nomination shall stand rescinded upon the transfer of units.

 13. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder/s). In case of multiple nominations, if any of the nominee equally amongst the surviving nominees.

 14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Muttual Fund / Trustees against the legal heir(s).

 15. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer/ transmit the units in favour of the Nominee.

 16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.

 17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.

 18. In respect of folios/accounts where the Nomination has been regi Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a

TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, transaction charge per subscription of Rs. 10,000/- and above be allowed to be paid to the distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall be subjected to the Mahindra Mutual Fund products.

- distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall be subject to the following:

 1. For existing investors (across mutual funds), the distributor shall be paid Rs. 100/- as transaction charge per subscription of Rs. 10,000/- & above.

 2. For first time investors, (across Mutual Funds), the distributor shall be paid Rs. 150/- as transaction charge for subscription of Rs. 10,000/- & above.

 3. The transaction charge shall be deducted by Kotak AMC from the subscription amount & paid to the distributor (will be subject to statutory levies, as applicable) & the balance amount shall be invested.

 4. In case of Systematic Investment Plan(s), the transaction charge shall be applicable only if the total commitment through SIPs amounts to Rs. 10,000/- & above. In such cases the transaction charge shall be recovered in first 4 successful installments.

Transaction charges shall not be deducted/applicable for:

- Transaction charges shall not be deducted applicable for:
 Transaction other than purchases/subscriptions such as Switch/Systematic Transfer Plan
 (STP)/ Transfer of Income Distribution cum capital withdrawal plan (IDCW), etc.
 Purchases/Subscriptions made directly with the Fund without any ARN code.
 Transactions carried out through the stock exchange platforms.
 Distributors who have chosen to either 'Opt In' or 'Opt Out' of charging the transaction

charge based on type of the product. With reference to SEBI circular no. Cir/IMD/DF/13/2011 dated August 22, 2011 and KMMF notice dated November 1, 2011; distributors shall now have the option to either opt in or opt out of charging

transaction charge based on type of the product.

10. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given

11. FATCA and CRS related details: Details under FATCA & CRS The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

- 12. DECLARATION AND SIGNATURES
 a) Signatures can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her
 - Applications by minors must be signed on their behalf by their guardians.
 - If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.

(Application not complying with any of the above instructions/ guidelines would be liable